

PATENT  
Docket No. 146712004500

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Alexandria, Virginia on September 16, 2003.

Stephanie Mason (htc1)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Shanghsien (Alex) ROU et al.

Serial No.: New Application

Filing Date: September 15, 2003

For: HIGHLY ORIENTED LONGITUDINAL  
MAGNETIC MEDIA ON DIRECT  
TEXTURED GLASS SUBSTRATES

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR 1.97 & 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted together with the filing of this application; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 146712004500. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: September 16, 2003

Respectfully submitted,

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Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 146712004500

Application Number New Application

Applicant

Shanghsien.(Alex) ROU, et al.

Filing Date: September 16, 2003

Group Art Unit: Not Yet Assigned

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
1.	1/1/2002	6,335,103	Suzuki et al.				5/6/1997
2.	5/28/2002	6,395,634	Miyamoto				3/31/2000
3.	6/25/2002	6,410,133	Kirino et al.				1/6/2000
4.	7/16/2002	6,420,647	Ji et al.				5/31/2001
5.	11/19/2002	6,482,505	Bertero				5/11/2000
6.	1/7/2003	6,503,600	Watanabe et al.				6/15/2001
7.	1/21/2003	6,509,083	Song et al.				1/25/2001
8.	2/25/2003	6,524,724	Cheng et al.				2/9/2001
9.	6/3/2003	6,574,060	Kanbe et al.				3/6/2001
10.	10/10/2002	2002/0146594	Takahashi et al.				1/23/2002
11.	3/27/2003	2003/0049648	Akimoto et al.				6/11/2002
12.	3/27/2003	2003/0059651	Shimizu et al.				9/13/2002

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.